

*Investment Returns after Board adoption of -
- Audited Financial Statements.*

FORM - 2 PART - A

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2019

Name of Fund : LIFE FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	5.70	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.94	31/08/2018	CARE	AAA	A+	06/03/2019	
	9.05% DHFL SR DB 09-09-2019	HODS	8.72	19/04/2018	CARE	AAA	A	31/03/2019	
	9.10% DHFL SR DB 09-09-2019	HODS	5.02	20/12/2017	CARE	AAA	A	31/03/2019	
B.	<u>As on Date</u>								
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	5.70	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.25% GESHIP DB 25-05-2027	ECOS	19.03	25/09/2017	CARE	AAA	AA+	05/10/2018	
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.94	31/08/2018	CARE	AAA	A+	06/03/2019	
	9.05% DHFL SR DB 09-09-2019	HODS	8.72	19/04/2018	CARE	AAA	A	31/03/2019	
	9.10% DHFL SR DB 09-09-2019	HODS	5.02	20/12/2017	CARE	AAA	A	31/03/2019	
	9.40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	CRISIL	AA	A+	05/05/2017	
	9.80% GESHIP DB 03-07-2019	ECOS	5.02	14/03/2018	CARE	AAA	AA+	05/10/2018	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 28-05-2019

Signature : *g. Vaidey*

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory



Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and
4. Category of Investment (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2019

Name of Fund : PENSION FUND

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	4.13	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.56% PNB HOUSING FINANCE BB 28-07-2020	HTDN	9.99	08/08/2018	FITCH	AAA	AA+	09/01/2019	
	9.05% DHFL SR DB 09-09-2021	HODS	2.71	23/03/2018	CARE	AAA	A	31/03/2019	
B.	<u>As on Date</u>								
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	4.13	31/05/2018	FITCH	AAA	AA+	09/01/2019	
	8.56% PNB HOUSING FINANCE BB 28-07-2020	HTDN	9.99	08/08/2018	FITCH	AAA	AA+	09/01/2019	
	9.05% DHFL SR DB 09-09-2021	HODS	2.71	23/03/2018	CARE	AAA	A	31/03/2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 28-05-2019

Signature : *G. Vaidyanathan*

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

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FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2019

Name of Fund : UNIT LINKED

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u>								
	8.90% RELIANCE CAPITAL LIMITED	ORAD	4.93	05/02/2019	CARE	AAA	A+	06/03/2019	
	9.05% DHFL SR DB 09-09-2019	HODS	1.30	25/06/2018	CARE	AAA	A	31/03/2019	
	9.05% DHFL SR DB 09-09-2021	HODS	2.33	01/11/2018	CARE	AAA	A	31/03/2019	
B.	<u>As on Date</u>								
	8.25% GESHIP DB 25-05-2027	ECOS	0.98	26/06/2018	CARE	AAA	AA+	05/10/2018	
	8.90% RELIANCE CAPITAL LIMITED	ORAD	4.93	05/02/2019	CARE	AAA	A+	06/03/2019	
	9.05% DHFL SR DB 09-09-2019	HODS	1.30	25/06/2018	CARE	AAA	A	31/03/2019	
	9.05% DHFL SR DB 09-09-2021	HODS	2.33	01/11/2018	CARE	AAA	A	31/03/2019	

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 28-05-2019

Signature : 

Full Name and Designation : MR.G.VAIDYANATHAN

Authorised Signatory

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