Investment Returns after Board adoption of -- Audited Financial Statements. PART-1

(Read with Regulation 10)

FORM - 2

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128 Statement as on: 31-03-2019

Name of Fund : LIFE FUND

Statement of Down Graded Investments Periodicity of Submission: Quarterly

**Rs Crore** 

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks	
Α.	During the Quarter									
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	5.70	31/05/2018	FITCH	AAA	AA+	09/01/2019		
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.94	31/08/2018	CARE	AAA	A+	06/03/2019		
	9.05% DHFL SR DB 09-09-2019	HODS	8.72	19/04/2018	CARE	AAA	A	31/03/2019		
	9.10% DHFL SR DB 09-09-2019	HODS	5.02	20/12/2017	CARE	AAA	A	31/03/2019	,	
3.	As on Date									
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	5.70	31/05/2018	FITCH	AAA	AA+	09/01/2019		
	8.25% GESHIP DB 25-05-2027	ECOS	19.03	25/09/2017	CARE	AAA	AA+	05/10/2018		
	8.90% RELIANCE CAPITAL LIMITED DB 09-09-2021	ORAD	4.94	31/08/2018	CARE	AAA	A+	06/03/2019		
	9.05% DHFL SR DB 09-09-2019	HODS	8.72	19/04/2018	CARE	AAA	А	31/03/2019		
	9.10% DHFL SR DB 09-09-2019	HODS	5.02	20/12/2017	CARE	AAA	A	31/03/2019		
	9.40% IDBI BANK BS 25-03-2026	ORAD	3.01	30/03/2011	CRISIL	AA	A+	05/05/2017		
	9.80% GESHIP DB 03-07-2019	ECOS	5.02	14/03/2018	CARE	AAA	AA+	05/10/2018		

### **CERTIFICATION**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 28-05-2019

Signature: graidy

Full Name and Designation: MR.G.VAIDYANATHAN

**Authorised Signatory** 

## Note:

1. Provide details of Down Graded Investments during the Quarter.

2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing

3. FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM-2 shall be prepared at Segregated Fund (SFIN) level and

4. Category of Investmet (COI) shall be as per Guidelines issued by the Authority

FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 31-03-2019

Name of Fund: PENSION FUND

Statement of Down Graded Investments Periodicity of Submission: Quarterly

**Rs Crore** 

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks		
A.	During the Quarter										
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	4.13	31/05/2018	FITCH	AAA	AA+	09/01/2019			
	8.56% PNB HOUSING FINANCE BB 28-07-2020	HTDN	9.99	08/08/2018	FITCH	AAA	AA+	09/01/2019			
	9.05% DHFL SR DB 09-09-2021	HODS	2.71	23/03/2018	CARE	AAA	A	31/03/2019			
В.	As on Date										
	7.50% PNB HOUSING FINANCE BS 15-09-2020	HTDN	4.13	31/05/2018	FITCH	AAA	AA+	09/01/2019			
	8.56% PNB HOUSING FINANCE BB 28-07-2020	HTDN	9.99	08/08/2018	FITCH	AAA	AA+	09/01/2019			
	9.05% DHFL SR DB 09-09-2021	HODS	2.71	23/03/2018	CARE	AAA	A	31/03/2019			

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FORM 2 PART A FOOTNOTE

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

**Registration Number: 128** 

Statement as on: 31-03-2019

Name of Fund: UNIT LINKED

Statement of Down Graded Investments Periodicity of Submission: Quarterly

Rs Crore

		Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks	
During the Quarter									
8.90% RELIANCE CAPITAL LIMITED	ORAD	4.93	05/02/2019	CARE	AAA	A+	06/03/2019		
9.05% DHFL SR DB 09-09-2019	HODS	1.30	25/06/2018	CARE	AAA	A	31/03/2019		
9.05% DHFL SR DB 09-09-2021	HODS	2.33	01/11/2018	CARE	AAA	A	31/03/2019		
As on Date									
8.25% GESHIP DB 25-05-2027	ECOS	0.98	26/06/2018	CARE	AAA	AA+	05/10/2018		
8.90% RELIANCE CAPITAL LIMITED	ORAD	4.93	05/02/2019	CARE	AAA	A+	06/03/2019		
9.05% DHFL SR DB 09-09-2019	HODS	1.30	25/06/2018	CARE	AAA	A	31/03/2019		
9.05% DHFL SR DB 09-09-2021	HODS	2.33	01/11/2018	CARE	AAA	A	31/03/2019		
	9.05% DHFL SR DB 09-09-2019  9.05% DHFL SR DB 09-09-2021  As on Date  8.25% GESHIP DB 25-05-2027  8.90% RELIANCE CAPITAL LIMITED  9.05% DHFL SR DB 09-09-2019	9.05% DHFL SR DB 09-09-2019 HODS  9.05% DHFL SR DB 09-09-2021 HODS  As on Date  8.25% GESHIP DB 25-05-2027 ECOS  8.90% RELIANCE CAPITAL LIMITED ORAD  9.05% DHFL SR DB 09-09-2019 HODS	9.05% DHFL SR DB 09-09-2019 HODS 1.30  9.05% DHFL SR DB 09-09-2021 HODS 2.33  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98  8.90% RELIANCE CAPITAL LIMITED ORAD 4.93  9.05% DHFL SR DB 09-09-2019 HODS 1.30	9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 9.05% DHFL SR DB 09-09-2021 HODS 2.33 01/11/2018  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98 26/06/2018 8.90% RELIANCE CAPITAL LIMITED ORAD 4.93 05/02/2019 9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018	9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE  9.05% DHFL SR DB 09-09-2021 HODS 2.33 01/11/2018 CARE  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98 26/06/2018 CARE  8.90% RELIANCE CAPITAL LIMITED ORAD 4.93 05/02/2019 CARE  9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE	9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA  9.05% DHFL SR DB 09-09-2021 HODS 2.33 01/11/2018 CARE AAA  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98 26/06/2018 CARE AAA  8.90% RELIANCE CAPITAL LIMITED ORAD 4.93 05/02/2019 CARE AAA  9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA	9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA A  9.05% DHFL SR DB 09-09-2021 HODS 2.33 01/11/2018 CARE AAA A  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98 26/06/2018 CARE AAA AA+  8.90% RELIANCE CAPITAL LIMITED ORAD 4.93 05/02/2019 CARE AAA A+  9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA A	9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA A 31/03/2019 9.05% DHFL SR DB 09-09-2021 HODS 2.33 01/11/2018 CARE AAA A 31/03/2019  As on Date  8.25% GESHIP DB 25-05-2027 ECOS 0.98 26/06/2018 CARE AAA AA+ 05/10/2018 8.90% RELIANCE CAPITAL LIMITED ORAD 4.93 05/02/2019 CARE AAA A+ 06/03/2019 9.05% DHFL SR DB 09-09-2019 HODS 1.30 25/06/2018 CARE AAA A 31/03/2019	

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Date: 28-05-2019

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FORM 2 PART A FOOTNOTE

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